. <b>6.</b> 3 . 3 4 . 3 5 . 3 5	UNITE	D STATES SE FOR ANNUA		NCIAL DISCLEMINATION R		REPORT			
Last Name		First Name and Middle Initia		Annual Report		Senate Office / Agency in Whi	ich Employed		
Grassley		Charles E.		Calendar Year Cove	ered by Report:	US Senator for Io			
Senate Office Address (Number, Street, Cit	y, State, and ZIP Code)	Senate Office Telephone Nu	ımber (Include Area Cod	e) Termination Report		Prior Office / Agency in Which	n Employed		
135 Hart Senate Office B Washington, DC 20510	uilding	202/224-3744							
AFTER READING T	HE INSTRUCT	IONS - ANSWE	R FACH OF	THESE OUES	TIONS AN	D ATTACH THE	RELEVAN	IT DAE	<b>ЭТ</b> \$
			YES NO			PATAONTA		YES	NO
Did any individual or organization paying you for a speech, appeara If Yes, Complete and Attach PART	nce, or article in the re Γ I.	eporting period?		Did you, your spouse, reimbursements for trairom one source)?  f Yes, Complete and A	avel in the report	nild receive any reportabl iing period (i.e., worth mo	e travel or ore than \$335		
Did you or your spouse have earn investment income of more than \$ reporting period?  If Yes, Complete and Attach PART	200 from any reporta Γ II.	ble source in the	$\square$		or dependent cl he reporting per	nild have any reportable liod?	iability (more		
Did you, your spouse, or depender more than \$1,000 at the end of the investment income of more than \$ If Yes, Complete & Attach PART II	e period, or receive ur 200 in the reporting p	nearned or	X	Did you hold any repor current calendar year? f Yes, Complete and A	•	on or before the date of fi	iling in the		
Did you, your spouse, or depender reportable asset worth more than Street If Yes, Complete and Attach PART	\$1,000 in the reporting  IV.	g period?	$1 \times 11 - 11$	Do you have any repo entity? f Yes, Complete and A	_	it or arrangement with an	outside		X
Did you, your spouse, or depended reporting period (i.e., aggregating exempt)?  If Yes, Complete and Attach PART	more than \$335 and r	eportable gift in the not otherwise	X  <b> </b>	f this is your FIRST Ro 5,000 from a single s f Yes, Complete and A	ource in the two	eceive compensation of i prior years?	more than		X
Each que	estion must be	answered and	d the appro	oriate PART at	tached for	each "YES" res	Donse		175 Y
File this report and any am Senate, Washington, DC 2	nendments with	the Secretary of t	he Senate, Of	fice of Public Re	cords, Room	232, Hart Senate C	Office Buildi	ng, U.S.	
This Financial Disclosure State by the Office of the Secretary o on Ethics. Any individual who k criminal sanctions. (See 5 U.S.	ment is required by f the Senate to any knowingly and willfu	the Ethics in Govern requesting person utily falsifies, or who k	nment Act of 19	78, as amended. Th	eviewed by the	Select Committee	FOR OFFICI Do Not Write		
Certification  I CERTIFY that the statements I	" * - " పొడ్డి అ్టే జొ జ్రి బిడి బ్ జి బి జి సి.శి శ్ <i>రా</i> బ్ బె బి	Signature of Repor	ting Individual	N 5 N 0 Q N C Q T N C Q 3 N C 0 D M T N D A	Date (N	lonth, Day, Year)			
have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.					May	13.2010	TO MAY	SECRET	
It is the Opinion of the reviewer that	Fo	r Official Use Only - Do		his Line			*	200	
the street opinion of the reviewer that the street of the		Signature of Revie	ewing Official		Date (M	lonth, Day, Year)	17 PH	EV (25)	
er krij a manne i sa							SE.	* 1	'n

Re	porting	Individu	ıal's l	Name

## PART I. PAYMENTS TO PAY CHARITABLE ORGANIZATIONS IN LIEU OF HONORARIA

Page Number

1

Report the source (name and address), date, and amount of any payment from each source to a charitable organization made in lieu of honoraria to you during the reporting period. Identify the activity (speech, article, or appearance), which generated the payment. For further information, see Instructions.

Note: Travel expenses in excess of \$335 related to activities giving rise to these payments must be reported in Part VI, Reimbursements.

Date	of Payment		Add	dress (City, State)	Speech, Article, or Appearance	Amount
Example	3/26/0X	Association of American Associations	Wash., DC	EXAMPLE	Speech EXAMPLE	\$1,000
<del>-  </del>	7/23/0X	XYZ Magazine	NY, NY	EXAMPLE	Article EXAMPLE	<b>\$</b> 500
+	28/09	Burlington Noon Lions Club	Burlington, IA		Speech-October, 2008	\$100.00
	Control of					
3   (j.)						
4	Andreas Miller Maries					
5						
n and						
o 🕻						
1						
2						· · · · · · · · · · · · · · · · · · ·
) l						
1 No. 1944	4					

A separate, confidential report which names the charitable organization receiving such payments must be filed directly with the Select Committee on Ethics.

Reporting	Individua	l's Name

# PART II. EARNED AND NON-INVESTMENT INCOME

Page Number

2

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

### Individuals not covered by the Honoraria Ban:

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

	Name of Income Source	Address (City, State)	Type of Income	Amount
Examp	ple: JP Computers MCI (Spouse)	Wash., DC Example	Salary Example	\$15,000
1 Ch	hambers, Conlon & Hartwell (Spouse)	Arlington, VA Example  Washington, DC	Salary Example Salary	Over \$1,000 Over \$1,000
2			Jaiaiy	Over \$1,000
3				
4				<u> </u>
5				- <del></del>
6	II and the second secon			
7				
8				
9				
10				
11				*
12				
iol			·	

Reporting	Individ	lual's l	Name
Charle	es E	Gra	celev

# PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Type of Income

Page	Number

3

# BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

# BLOCK B Valuation of Assets

At the close of reporting period. If None, or less than \$1,001, Check the first column.

# BLOCK C Type and Amount of Income

**Amount of Income** 

CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:  (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	_	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
Example: DC, or J (S) Keystone Fund	_			X	х								L	х							Example		Х									,	Example
Raymond James Bank Program			$\vdash$	$\vdash$	싁	$\dashv$	-	_			_					7		X	_	_	Example	Х				_			[				Example
☐ Freedom AcctFormerly Raymond																									l		İ						
James & Assoc. Elite Cash -Client Interest Program																											$\exists$						
Alliance Bernstein Mun. Inc. Nat'l. FD-		х																х		1			х			$\dashv$							
4 Baron, Growth Fund N/L		X																x	7	1		х	$\dashv$			$\dashv$		$\dashv$	1	+	+	$\dashv$	
Columbia Marsico Focused Equities Fund-Class A M/F		х				1	1				1						1	х	$\top$	1		x	7	寸	1	1	1	$\dashv$	$\dashv$	$\dashv$	+	$\dashv$	
DWS RREEF Global Real Estate Securities Fd Class A M/F		х		1	$\forall$	1	7	+	$\exists$		$\dashv$				$\dashv$	$\dashv$	$\dashv$	x	$\dashv$	$\dagger$			$\frac{1}{x}$	$\dashv$	$\dashv$	$\dashv$	+	$\dashv$	$\dashv$	$\dashv$	$\dashv$	$\dashv$	
7 Davis New York Venture Fund-Class A		x			7	+	+	$\dashv$	7	$\dashv$	$\dashv$				$\dashv$		$\dashv$	$\frac{1}{x}$	$\dashv$	+		X	$\dashv$	$\dashv$	$\dashv$	1	$\dashv$	$\dashv$	$\dashv$	$\dashv$	$\dashv$	+	
Regie Small Cap Core Value Fund-Class		х		1	$\dashv$	$\top$	$\dagger$	$\dagger$	$\dashv$	$\dashv$	$\dashv$		$\dashv$	+	$\dashv$	_	-	x	$\dashv$	+		-	x	$\dashv$	+	$\dashv$	$\dashv$	+	+	$\dashv$	+	+	
9. 14. 14. 14.				$\top$	$\top$	$\top$	$\top$	$\dashv$	$\dashv$	$\dashv$	$\dashv$	╢	$\dashv$	$\dashv$	$\dashv$	$\dashv$	$\dashv$	$\dashv$	+	+		$\dashv$		$\dashv$	$\dashv$	+	$\dashv$	$\dashv$	+	$\dashv$	$\dashv$	+	
10	$\dashv$	_		$\dashv$	$\dashv$	+	+	$\dashv$	+	$\dashv$	$\dashv$	╢	$\dashv$	$\dashv$	$\dashv$	$\dashv$	$\dashv$	$\dashv$		$\dashv$		+	$\dashv$	+	+	+	+	+	+	+	+	-	-
EXEMPTION TEST (see instructions before marking box): If	VOLL	omitte	od 01																														

		Name

### PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

### **BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

*	. 1 2.	Eagle Large Cap Core Fund Class I N
Contract Contract	25	Eaton Vance Large Cap Value Fund Class A M/F
No. of Assessed	3	Europacific Growth Fund Class F1- American Funds N/L

Class I N/L

### **BLOCK B** Valuation of Assets

At the close of reporting period. If None, or less than \$1,001.

#### BLOCK C Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

trade	d asset held by you, your spouse, or	1	Check the first column.										_				HIC				received	OI A	CCIU	su to	uie	Delle	SHE OF	une	inaiv	loua	l.		i.	
your	dependent child, (See p.3,	L												7	Гур	e of	Inc	om	е		Amount of Income													
investication (1) cl (2) in Including identification (2) in In	TENTS OF REPORTS Part B of otions) for production of income or ment which: had a value exceeding \$1,000 at the ose of the reporting period; and/or generated over \$200 in "unearned" come during the reporting period. e on this PART IIIA a complete ication of each public bond, mutual publicly traded partnership interest, ted investment funds, bank nts, excepted and qualified blind and publicly traded assets of a ment plan.	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
Examp	S, IBM Corp. (stock)		Ž		Х										x							Example		х			ownsern Na				9.30	$\dashv$		Example
	or J (S) Keystone Fund					X													x			Example	Х							寸				Example
	le Large Cap Core Fund Class I N/L		X																х	T			Х				87							
J   Cla	on Vance Large Cap Value Fund ss A M/F		х										5						x		n '		Х							1	$\dashv$			
J Am	opacific Growth Fund Class F1-		Х																х				Х		Ç+ .									
A IIIai	oor International Growth Fund - tutional Class N/L		х																x				Х										1	
<u> </u>	ford Midcap Fund Class A M/F		х									$\dashv$					7	1	x				Х								1	1		
Perl	rins Mid Cap Value Fund Class A - Janus		х						1										x				Х			7				1			1	:
∡  Lau	dus Rosenberg International overy Fund Select		х					1				1	╢				1	1	x	7	7		Х		_	1			1	1			$\dashv$	1
<u> </u>	Research Fund Class A M/F		х					$\exists$				1		1		1	1	1	x	$\top$	$\dashv$		Х		寸			7	$\dashv$	$\dashv$	$\dashv$	+	$\dashv$	
Jilnye	berger Berman Genesis Fund stor Class N/L		x			1		1	$\dashv$	1		1	╢		$\dashv$	1	$\dashv$	$\dagger$	x	1	7		Х		1	1	1		+		$\dashv$	$\top$	$\dashv$	
Nuv Clas	een High Yield Municipal Bond Fund s I N/L		х					1		1									x	$\top$	+		$\dashv$	х				7	1	1				.e
	,																				_													

Reporting	Individual's Name
<u> </u>	
	Fig. 1944

Example: DC.

7 Alliance Berns
3 Fund-Class B

5 Fund-Class A

3 Class A

or J

# PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

5

BLO	
<b>Identity of Publi</b>	icly Traded Assets
And Unearned	Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

**(S)** 

Pimco Commodity Real Return Strategy
Fund Class A M/F
T. Rowe Price Summit Municipal Income
Fund Class A M/F
Wells Fargo Advantage International
Value Fd Admin Cl N/L
RJ Bank Deposit Program

RJ Bank Deposit Program-Formerly RJ
Elite Cash Client Interest Program

Aim Large Cap Growth Fund-Class A Alliance Bernstein Growth & income

Alliance Bernstein Large Cap Growth

10 Allianz OCC Targe Fund-Class A

Allianz CCM Capital Appreciation Fund-

IBM Corp. (stock)

Keystone Fund

BLOCK B
Valuation of Assets

At the close of reporting period. If None, or less than \$1,001, Check the first column.

# BLOCK C Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

r				C	heck	the	first	colu	MA.				⊩																				
"	L	12.	_	_			_							,		Тур	ео	f in	con	ne						An	ıou	nt c	f In	cor	ne		
е	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000		spus		st	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
	None	\$1,00	\$15,0	\$50,0	\$100	\$250	\$500	Over	\$1,00	\$5,00	\$25,0	Over	None	Dividends	Rent	Interest	Capita	Excep	Excep	Qualif		None	\$201	\$1,00	\$2,501	\$5,001	\$15,00	\$50,00	\$100,0	Over \$	\$1,000	Over \$	
	L			X										X						0-4 	Example		х					-3, -1	$\exists$		$\dashv$		Example
	L				Х							_						X		1747	Example	Χ									$\neg$	\$7.77	Example
y 		X																Х					Х				•						-
ne —	_		X															Х					Х										:
		X													Ī			x				Х							$\exists$		$\exists$	T	
		Х														Х						х					7	7	$\exists$	寸	十	$\dashv$	
																											$\dashv$	1	$\dashv$	$\dashv$	$\dashv$	$\dashv$	
	х									1	1			$\exists$	$\exists$		1	x			·	х	$\dashv$	$\dashv$		+	1	_	$\dagger$	$\dashv$	+	+	
	х						7				1	╢	1	1		1	1	x	$\dashv$	$\dashv$		x		+	+	+	$\dashv$	$\dashv$	$\dashv$	$\dashv$	$\dashv$	+	
-	х								7	7	$\top$	╢		1	+	+	+	x	1	$\dashv$	<del></del>	x	1	$\dashv$	+	+	$\dashv$	+	+	+	+	+	
	х				1		7			_	+	╢	$\dashv$	$\dashv$		+	+	x	$\dashv$	$\dashv$		х	$\dashv$	+	+	+	+	$\dashv$	+	+	+	+	
	х	_	1	1		7	+	1	+	$\dagger$	+	$\dagger$		+	$\dashv$	$\dashv$	$\dashv$	x	$\dashv$	$\dashv$		x	$\dashv$	$\dashv$	+	+	$\dashv$	+	+	+	+	+	
	1	_			L				_	Щ.												$ m ^{\rm 1}$							$\bot$		$oldsymbol{\perp}$		

Reporting Individual's Name					
	l	Repo	orting	Individual's	Name

# PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Type of Income

Page Number

6

# BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

# BLOCK B Valuation of Assets

At the close of reporting period. If None, or less than \$1,001, Check the first column.

# BLOCK C Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Amount of Income

	Instructions) for production of income or investment which:  (1) had a value exceeding \$1,000 at the close of the reporting period; and/or  (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete dentification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind rusts, and publicly traded assets of a etirement plan.	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
E	xample: DC,   IBM Corp. (stock)	L	1.00		Х			·							х				Par 1			Example		Х	2.5									Example
	or J (S) Keystone Fund	丰	$\vdash$	_	igspace	Х		Ш	Ш					L			6 ° s		Х			Example	Х		9-50						(* e)		gidet:	Example
1	Munder Internet Fund-Class A	Х																	Х				Х											8
3	Putnam Internation Equity Fund-Class A	х																	Х				х		7		79.45 1							:
3	Nuveen Prem. Income Mun. Fund 2		х										П						х			•	х					1		$\dashv$	3 7 7			4
<u>4</u> >				х													х						x			$\dashv$			$\neg$	+		$\dashv$		
V .	Raymond James Bank Deposit Program			х	П		П										х			7			x		-			$\dashv$	$\dashv$	十	$\dashv$	$\dashv$		
6	RJ Bank Deposit Program-SEP IRA				П			1											7	+	1			1	1	+	+		$\dashv$	$\dashv$		+	+	
7	AIM Large Cap Growth-Class A	х	П													1		7	x				x		1	+	$\dashv$	+	$\dashv$	+	$\dashv$	$\dashv$	$\dashv$	
8	AIM Capital Development Fund-Class A	х						7	寸									_	$\mathbf{x}$	+	$\top$		x	_	7	$\dashv$	$\dashv$	$\dashv$	十	$\dashv$	$\dashv$	+	$\dashv$	
9	Alliance Bernstein Growth & Income- Class A	х			$\dashv$		7	$\dashv$	$\dashv$	+	$\dashv$	$\dashv$							x	$\dashv$	$\dagger$		X	1	-	$\dashv$	+	$\dashv$	$\dashv$	+	$\dashv$	+	$\dashv$	
10	Alliance Pernetain Crouds 2 In	х																	х				х			+	$\dashv$	+	+	+	+	+	+	
ΞX	EMPTION TEST (see instructions before marking box): If																														_			

را حالف الم

# PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

#### **BLOCK A Identity of Publicly Traded Assets** And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or

#### **BLOCK B Valuation of Assets**

At the close of reporting period. If None, or less than \$1,001, Check the first column.

#### **BLOCK C** Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

1	your dependent child, (See p.3,	L															уp	e of	Inc	con	1e				٠,		An	ıou	nt c	of In	cor	ne		
	CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:  (1) had a value exceeding \$1,000 at the close of the reporting period; and/or  (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete dentification of each public bond, mutual rund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind rusts, and publicly traded assets of a etirement plan.	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
E	S, IBM Corp. (stock)	一			х	_	十	$\dagger$	十	$\dashv$	$\dashv$	+	$\dashv$		X	+				$\dashv$	$\dashv$	Example		Х				-		$\ddot{\dashv}$		-	$\dashv$	Example
	or J (S) Keystone Fund				7	x	十	1	十	_†	7	$\dashv$	$\neg$	Н		$\neg \dagger$	$\neg$	+	x	-+	_	Example	Х	$\hat{}$		-		-		-			$\dashv$	Example
1	Alliance Bernstein Large Cap Growth Fund-Class A	х																	х				X									$\dashv$		Example
2	Putnam Investors-Class A	х							1							寸			X	7			Х							$\dashv$				
3	Putnam International Equity-Class A	х									1		┪		7		1	十	x				Х					7		$\dashv$	1		$\dashv$	
4	Hartford Capital Appreciation-Class B	х				1		1	1	1	1	$\dashv$			1	1	1	+	x	$\dashv$	†		х	$\dashv$		1			_	+			$\dashv$	
5	Discover Bank, Greenwood, DE- Certificate of Deposit			x		$\top$		$\top$	1	7	7	1			•	+	x	1	$\forall$	$\dashv$	+				X	$\dashv$		_	$\dashv$	$\dashv$	$\dashv$	$\dashv$	+	
6	Sterling Bank, Lantana, FL-Certificate of Deposit	х		1		1	T	$\top$	1	1	+	1	╢	$\dashv$	1		x	$\top$	7	$\dashv$				_	х			$\dashv$	+	$\dashv$	$\dashv$	$\dashv$	$\dashv$	
7	TransAmerica Flexible Inc. Fund-Class B-Formerly TA IDEX TransAmerica	П		$\exists$			1		$\dagger$		1	1	╢	7		1		$\top$	7	1	1				$\dashv$	+				$\dashv$	+	$\dashv$	$\dashv$	
	Convertible Securities Fund		х			1		1	1	1	$\dagger$	7	1	1	1	+	7	1	x	$\dashv$	1			$\frac{1}{x}$	+	7	$\dashv$	$\dashv$	+	$\dashv$	$\dashv$	$\dashv$	+	*
9	Black Rock Dividend Achievers	П		x	7		1	$\dagger$	†	†	$\dagger$	$\dagger$	1	1	$\dashv$		$\dagger$		x	_	$\dagger$		1	$\dashv$	$\frac{1}{x}$	+	+	+	_	+	+	+	$\dashv$	
10	Great Southern Bank, Green Springs- Certificate of Deposit	х		1	$\top$	+	1	1	$\dagger$	+	1	1	╢	+	+	$\dagger$	+	x	$\dagger$	+	+		+	x	$\dashv$	$\dashv$	+	+	$\dashv$	+	$\dashv$	+	+	
EX	EMPTION TEST (see instructions before marking box): If	•					<del></del>		<u> </u>		<del></del> -										Щ.					L							Щ	

Reporting	Individual's	Name

# PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

8

### **BLOCK A Identity of Publicly Traded Assets** And Unearned Income Sources

Report the complete name of each publicly

#### **BLOCK B Valuation of Assets**

At the close of reporting period. If None, or less than \$1,001,

#### **BLOCK C** Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

11	raded asset held by you, your spouse, or	ı			CI	heck	the:	first (	colur	nn.				<b> -</b>														JIN 0	- 410	111011	naue	41.		
13	our dependent child. (See p.3.	<u>_</u>	Tr.	-	1	T	<del></del>	<del></del>			ri-a			L			Тур	e o	fin	com	1e						Am	ou	nt o	f In	cor	ne		
i f e a ti	contents of Reports Part B of Instructions) for production of income or investment which:  (1) had a value exceeding \$1,000 at the close of the reporting period; and/or  (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete dentification of each public bond, mutual and, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind rusts, and publicly traded assets of a etirement plan.	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
E	xample: DC, IBM Corp. (stock)		¥		Х				111						Х		17		7.77 1.77			Example		Х							1981.			Example
	or J (S) Keystone Fund					X													х			Example	х							一		$\neg$		Example
1	Raymond James Bank Deposit Program-																										77							
	SEP IRA Freedom Account																					_							7	$\dashv$				
3	Aston/River Road Small Cap Value Fund-Class 1 N/L		Х								. 7				:		;		х				Х						+					
4	Baron Growth Fund N/L		х															÷	x		7		х				$\exists$		+			1		
5	Columbia Marsico Focused Equities Fund Class A M/F		Х						7	7		1							х	1			Х					$\dashv$	$\dashv$	1			1	
6	DWS RREEF Global Real Estate Securities FndClass A M/F			х					1										Х	1				7	x	7	1		1	1	1		+	
7	Davis New York Venture Fund-Class A M/F		х														1		x	1	1		х			$\dashv$	7		$\top$	+	$\dashv$		$\dashv$	
8	Eaton Vance Large Cap Value Fund- Class A M/F			х		1		7	1	7	$\dashv$	1	╢	$\dashv$	1			$\exists$	x	$\dashv$			$\dashv$	х	+	+	+	+	$\dashv$	$\dashv$	$\dashv$	$\dashv$	+	
9	EuroPacific Growth Fund-Class F-1- American Funds N/L		х				7					$\exists$					1		x	$\top$	$\dashv$		x	1	1	$\dashv$	+	$\dashv$	$\dagger$	+	$\dashv$	$\dashv$	$\dashv$	1
0	Goldman Sachs High Yield Fund-Class A M/F			X					$\exists$			1	$\parallel$	1	$\exists$	1			x	+	1			x		$\dagger$	$\dashv$	+	$\top$	7	$\dagger$	_	$\top$	
ΕY	EMPTION TEST (and instructions hefer																	_	_						_	_								

Repo	and in the	lade.		P- 6	
11Chr	nunu	II RJEV	loua	ISN	ıame

# PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

9

### **BLOCK A Identity of Publicly Traded Assets** And Unearned Income Sources

Report the complete name of each publicly traded asset held by your spouse or

#### **BLOCK B** Valuation of Assets

At the close of reporting period. if None, or less than \$1,001, Check the first column.

#### BLOCK C Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

1	traded asset held by you, your spouse, or				CI	песк	tne 1	TIPST (	colur	nn.					_				_				_		_			_						
	your dependent child. (See p. 3	L		<b>,</b>	<del>, .</del>												Тур	e of	f Inc	com	1e						Am	loui	nt o	f In	con	ne		
1	CONTENTS OF REPORTS Part B of		3												ľ												nara Gi				200			
	Instructions) for production of income or						1		ľ						1	ľ	ı						[: ·		ľ			ŀ						
l	investment which:			1			ľ	1																										
1	(1) had a value exceeding \$1,000 at the	Ì	N	1												l							İ											
	close of the reporting period; and/or								ļ		.												ľ											Actual
1	(2) generated over \$200 in "unearned"	12		1				ľ		_	8	8							2			Other												Amount
	income during the reporting period	\$1,001)				_		8		ğ	ŏ	O,	ŀ						교				\$201)						1			8		
	nclude on this PART IIIA a complete	\$	ł		8	8	8	0,0	*	8	8	Ŏ,		ł					ent		ž	(Cnn-if.								8	*	Š,		Required
	dentification of each public bond, mutual	å	8	8	O.	20,	8	8	S.	5,0	25,	\$5(			, "				st m	_	F	(Specify Type)	har		0		8	8	8	8	<u>&amp;</u>	Š	0	if "Other"
1	und, publicly traded partnership interest,	SSS	15,0	182	10	\$2	\$5	\$1	0,0	4	\$	-	8					S	λe	25	2	. , , , ,	SS t	8	20(	ĕ	5,0(	20'(	5	5,	9	₩.	Š	Specified
5	excepted investment funds, bank	ž	65			=	7	=	8	8	8	8	0,		န			Gai	ᇹ	P	<u>=</u>		r <u>e</u>	1,0	\$2	\$5	\$1	43	\$	-	8	5	ğ	7.
١	accounts, excepted and qualified blind	9	5	8	8	0,0	ğ	Ŏ,	r \$1	00	00	8	\$5	_	en		est	tai	g	g	ij		0)	\$	7	- 1	7-	5	ğ	8	₹,	8	\$5,000,000	<i>i</i>
,	rusts, and publicly traded assets of a etirement plan.	None (or less than	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust		None (or less than	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	Š	\$100,001 - \$1,000,000	ě	\$1,000,001 - \$5,000,000	Over	•
H		匚		<u>"</u>		-		87	_	₩	67	₩,	Ü			UZ.	-	٥	ш	Ш			Z	₩	43	\$	₩.	€	ĕ	€>	0	è	이	
E	ixample: DC,				х						A				X						Signary I	Example	9	Х	."		grane. San							Example
┝	or J (S) Keystone Fund					Х													X			Example	Х						177		7			Example
1	Harbor International Growth Fund- Institutional Class N/L		Х																х				Х											
2	Hartford Midcap Fund-Class A M/F		х																X				х											
3	Perkins Mid Cap Value Fund -Class A M/F-Janus		Х				$\exists$					7						$\dashv$	x	1	1		х			$\dashv$		-	$\dashv$	$\dashv$	$\dashv$	$\neg$		
		Н	-	╢	-	-	$\dashv$			_			4	$\sqcup$		_			$\stackrel{\wedge}{\dashv}$															
4	JP Morgan Core Bond Fund-Class A M/F			x				İ	-	ļ								- 1	x					x	İ									e a
5	Laudus Rosenberg Int. Discovery Fund Select			х		,													x					х							$\dashv$			
6	MFS Research Fund -Class A M/F			х															x	7				x			$\dashv$		$\exists$	$\dashv$	$\dashv$	_		
7	Neuberger Berman Genesis Fund Investor Class N/L		х			7										1			x	7	7		х		1	1	1		$\dashv$	1	1	+	$\dashv$	
8	Pimco Total Return Fund-Class A M/F			х		1	7	寸	7		7	1	╢					7	$\mathbf{x}$	7			1	x	$\dashv$	1	7	$\dashv$	$\dashv$	+	+	$\dashv$	$\dashv$	
- 1	Pimco Commodity Real Return Strategy Fund-Glass A M/F			x	+	7	1	+	1	+		$\dashv$	╢	+	$\dashv$	+	+		x	$\dashv$	T		$\dashv$	x	$\dashv$	+	+		$\dashv$	$\dashv$	$\dashv$	+	$\dashv$	
10	T. Rowe Price Int. Bond Fund Advisor Class N/L	$\exists$	х			+	1	7	+	1	7	+	╢	$\dashv$	+	$\dashv$	+	$\dashv$	$\frac{1}{x}$		$\dagger$		$\dashv$	$\frac{1}{x}$	$\dashv$	$\dashv$	$\dashv$	+	$\dashv$	$\dashv$	1	+	$\dashv$	
EX	EMPTION TEST (see instructions before marking box). If																							L										

Rep	ortin	a indir	vidual's	Name

## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

10

### **BLOCK A Identity of Publicly Traded Assets** And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or

### **BLOCK B Valuation of Assets**

At the close of reporting period. If None, or less than \$1,001, Check the first column.

### BLOCK C Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

	your dependent child, (See p.3,	L		<u> </u>		,,	et 1	_									Тур	e o	fInd	com	10						Am	oui	nt o	f In	con	ne		
	CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:  (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.	None (or less than \$1 001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
Γ,	S, IBM Corp. (stock)	T			x			ᅱ				$\dashv$			х	<u> </u>	V					Example		х		-			n 10 5		177 77			Example
Ľ	or J (S) Keystone Fund	1		П		x	1.0	$\dashv$	$\exists$			$\dashv$	$\dashv$		H			_	х		}	Example	х	$\stackrel{}{\vdash}$	$\dashv$	$\dashv$		$\dashv$		_	. 1. 15°	$\dashv$		Example
1	Thornburg Value Fund Class I N/L	Τ	x			,													Х				Х			$\exists$		ᅥ	65					:
2	Wells Fargo Advantage Int. Value Fund Admin. Class N/L		x			1			1										х					x										:
3	RJ Bank Deposit Program		х														х				1		Х							1				
3	RJ Bank Deposit Program-IRA										1									1					7		1			_	1		$\dashv$	
<b>Ş</b>	TransAmerica Flexible Income Fund- Class B-Formerly TransAmerica					1	1	1				1									+				1	$\dashv$	$\dashv$	1		_				
	Convertible Securities Fund		Х																x		7		x			7	7	$\exists$	Ħ			+	$\dashv$	
ξ	Black Rock Divident Achievers-IRA		х		$\dashv$	1		$\dashv$	1	$\dashv$	$\dashv$	+	$\dashv$	H					X		+		$\dashv$	Х	+	+	1		+	$\dashv$	$\dashv$	$\dashv$	$\dashv$	i i
85	Aim Charter Fund-Class A	T	х			1	1		1	7	1	1							x	1	+		x					$\dashv$		$\dashv$	$\dashv$	$\dashv$		
3	RJ Bank Deposit Program	х				1	1	1			7	7					х		1	$\dashv$	$\dashv$		x	$\dashv$	7	1	$\dashv$	1	$\dashv$	1	+	$\dashv$	$\dashv$	
10	New York Life Insurance		х	7	$\top$	$\top$	1	1	1	1	+	$\dashv$		H	Х					+	$\dashv$		x	1	$\dashv$	$\dashv$	+	$\dashv$	1	$\dashv$	+	$\dashv$	$\dashv$	
	YEMPTION TEOT				_								لئــــــــــــــــــــــــــــــــــــ				ŀ									_1								

Reporting	Individual's	Name

### PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

11

# BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

L	or J (S) Keystone Fund
1	Ohio National Life Insurance
2	Ohio National Life Insurance
3	New York Life Insurance
4	ING 401k

5-ING Index Plus Large Cap Port-1

ING Fixed Account

ING Fidelity VIP Contrafund Port-Svc

Columbia Mid Cap Value Fund-Class A

Allianz NFJ Dividend Value Fund-Inst

10 Pioneer Equity Income Fund-R

Example: DC

IBM Corp. (stock)

# BLOCK B Valuation of Assets

At the close of reporting period.
If None, or less than \$1,001,
Check the first column.

# BLOCK C Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

		<b>,</b>				· uic										Тур	e o	fin	con	ne						Am	ou	nt o	f In	cor	ne		
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
$\Box$				Х				177					Г	X							Example	4 :	х			j.ar		3.7		, , , , , , , , , , , , , , , , , , ,			Example
4	_				Х													X			Example	X											Example
İ		X												Х									Х										
		Χ								,				Х									Х	7									
		X												Х									X		_					- :			
				Х														х					Х					1					
				Х														х							х								
				х														х					х					7				$\exists$	
			Х															x						х									
				х														x								x						1	
1			Х															х					х										*

A Standard

Charles E. Grassley

# PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

12

### **BLOCK A Identity of Publicly Traded Assets** And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spous your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income investment which:

- (1) had a value exceeding \$1,000 a close of the reporting period; and
- (2) generated over \$200 in "unearned income during the reporting period Include on this PART IIIA a complete identification of each public bond, mut fund, publicly traded partnership interest excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

10 Conservative Growth Portfolio

Money Market Portfolio

#### **BLOCK B** Valuation of Assets

At the close of reporting period. If None, or less than \$1,001. Check the first column

#### BLOCK C Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

traded asset held by you, your spouse, or	1		Check the first column.									- 1	<del> </del>									-			0 1110		CIIC (	7 010	iniai	VICU	aı.		
your dependent child. (See p. 3	$\vdash$	133.5	_	<del></del>	_	_	-		r	14	-			_	1	Гур	e of	Inc	om	е		L				An	nou	nt c	of In	cor	ne		
CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:  (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001-\$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
S, IBM Corp. (stock)		S 6 1 5 1		х			7			¥ 1.		ᅦ		х	_		$\dashv$		-	$\dashv$	Example		Х				-	-			-		Example
or J (S) Keystone Fund					х			寸	$\neg$		$\neg$	7			1	10	$\dashv$	$\mathbf{x}$	十	-	Example	X	- 1				-		$\vdash$		-		Example
ING 401k-Continued							一											1		7						,					-		Example
American Funds Growth FndFormerly The Growth Fund of America R3		X		•	7			1	+	+	+				$\dashv$		$\dashv$	$\frac{1}{x}$		1			x		_						-		
The American Funds Group							1		1		1		1	1	1	1		1	+	+												+	·
American Mutual Fund 03		Х						1	1		1	╢	7		7	十	7	$x^{\dagger}$	$\top$	$\dagger$		1	х					$\dashv$	ᅥ	$\dashv$	$\dashv$	$\dashv$	
College Savings lowa for Grandchildren- managed by Vangard							+	+	+	1		╢		1	+	+			+	+		1							$\dashv$	$\dashv$	+	+	
Money Market Portfolio	П		х				T	1	1	7		╢	$\dashv$	寸	$\dashv$	7	$\top$	$x^{\dagger}$	$\top$	$\dagger$		$\dashv$	7	$\neg$	X	$\dashv$		$\dashv$	$\dashv$	$\dashv$	$\dashv$	$\dashv$	
Conservative Growth Portfolio			x	+	$\top$	$\dagger$	$\dagger$	$\dagger$	$\dagger$	$\dashv$	$\dagger$	╢	$\dagger$	$\dashv$	$\dashv$	+	$\dashv$	x	+	+		+	-	-	X		$\dashv$	$\dashv$	+	-	+	+	
Conservative Income Portfolio		x	7	$\dashv$	1	$\dagger$		$\dagger$	$\dagger$	$\dagger$	$\dagger$	╢	$\dagger$	$\dashv$	+	$\dagger$	+	x	+	$\dagger$		x	$\dashv$	$\dashv$		$\dashv$	-	$\dashv$	-	+	$\dashv$	+	
Money Market Portfolio			x	$\top$	$\top$		+	$\dagger$		$\dagger$	$\dagger$	$\parallel$	$\dagger$	1	+	$\dagger$	1	x	+	+		$\dashv$	$\dashv$	$\dashv$	X	-	+	$\dashv$	+	$\dashv$	$\dashv$	+	
Conservative Growth Portfolio			x	$\top$	†	†		$\dagger$	$\dagger$	$\dagger$	$\dagger$	╫	$\dagger$	+	$\dagger$	$\dagger$	- -	x	+	+		+	$\dashv$	$\dashv$	X	-	$\dashv$	$\dashv$	$\dashv$	$\dashv$	+	+	· ·
CEMPTION TEST (as in 1)												_#_		L												1						- 1	1

 	-	 _	
			Name

### PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

13

#### **BLOCK A Identity of Publicly Traded Assets** And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your de CONT Instruc investr

- (1) h clo
- (2)ginc Include identific fund, p except accoun trusts. retirem

#### **BLOCK B** Valuation of Assets

At the close of reporting period. If None, or less than \$1,001. Check the first column.

#### **BLOCK C** Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

traded asset held by you, your spouse, or your dependent child, (See p.3,		Check the first column.												7	Гур	e of	Inc	om	е						Am	oui	nt o	f In	con	ne		*	
CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:  (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
Example: DC IBM Corp. (stock)	T			×		*								х							Example		х		-			75.7					Example
Example: DC, or J (S) Keystone Fund				241	Х					-								х			Example	X											Example
College Savings Iowa for Grandchildren- managed by Vangard-Continued																						3											
¿ Conservative Income Portfolio		х																х				Х											
AXA Equitable Accumulator Variable Annuity				х														x						х									
4 State Bank & Trust Company			х													x						х											
5																		7															
5 6 7																																	
																			1					一									
8											1							7	7														
9								7										1	$\top$									$\dashv$		$\dashv$			
10															$\dashv$		$\dashv$	+	$\top$	1	· · · · ·												
							_				!		ш								1										_		

Contract to			
Kepor	una ind	ividual	's Name

### PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Type of Income

Page Number

14

### **BLOCK A** Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you,

#### **BLOCK B Valuation of Assets**

At the close of reporting period. If None, or less than \$1,001, Check the first column.

#### BLOCK C Type and Amount of Income

**Amount of Income** 

your spouse, or your dependent child (See	<u> </u>												L_			yp	e oi	rinc	;om	е						Am	oui	IL O	1 1111	CON	ne		
p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:  (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.	None (or less than \$1,001)		\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 -\$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
S, JP Computer, Software Design, Example: DC, Wash DC	1			х									H	x							Example		Х										Example
or J Undeveloped land, Dubuque, Iowa	╁		+-	12.35 T	x						-		×			- 1			$\dashv$		Example	х	$\stackrel{\sim}{-}$		$\vdash$		-						Example
1 Farmers' Cooperative Co.		х												х							Com- bined		Х					pak, ez Janas					,
2 Value First Co-operative-Dike, IA		Х													-						Farm	Х											* *
Farm land-all located in Butler County, IA-Beaver Township				ia v					,												In- come												
4 Sec. 16, Rng. 15-118 A						Х															\$216, 159												
5 Sec. 24, Rng. 15-72 A					х																Com- bined												,
6 Sec. 34, Rng. 15-40 A	T					Х															Farm												1
7 Sec. 15, Rng. 15-43 A	1				Х																Ex- pense											: :	
8 Sec. 15, Rng. 15-87 A						Х															\$101, 817						$\exists$						1 1
9 Sec. 34, Rng. 15-104 A						х											·				Net In- come			-									2 2
10 Sec. 34 & 35. Rng. 15-120 A						Х															\$114, 342												
EXEMPTION TEST (see instructions before marking box): If	Evou	i+							4	- 41						<del></del> -			<del></del>														

₽	eportin	~ l~	ورواحة وأأو	al'a bi	1
"	CLOTUI	u nx	AI Y IUU U	ฮเรก	

6

9

Statement (1976), in

### PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

15

#### **BLOCK A Identity of Non-Publicly Traded** Assets and Unearned Income Sources

Report the name, address (city, state and description) spouse, or CONTENTS Instructions investment which:

- (1) had a close of
- (2) genera income Include the asset, which business. F public entity

#### **BLOCK B Valuation of Assets**

At the close of reporting period. If None, or less than \$1,001,

#### **BLOCK C** Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Ä	description) of each interest held by your	]		Check the first column.										11														J1 11.0	· In con-		<u>.                                    </u>	
S	description) of each interest held by you, your spouse, or your dependent child (See p.3,	L				-										T	ype	of	Inc	ome	)					Am	oun	t of	Inco	me		
C ir w lr	contents of Reports Part B of instructions) for the production of income or investment in a non-public trade or business which:  (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying isset, which is not incidental to the trade or pusiness. Publicly traded assets held by non-public entity may be listed on Part IIIA.	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000 Over \$1.000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
Đ	xample: DC, Undeveloped land, Dubuque, Iowa				Х	+	$\Box$				$\Box$	$\Box$	$\Box$	X	1	L	I	1	L		Example		Х				$\Box$	丁	T	丰		Example
	Sec. 15, Rng. 15-118 A		H	-	H	X	x		H	H	H	<del></del>	H	╟	+	+	+	+	十		Example	×			+	+	+	+	+	+	55	Example
_	Sec. 16, Rng. 15-40 A		-	H	H	x	+		H	H	H	<del> </del>	H	╟	+	+	+	-	+	$\vdash$					$\dashv$	+	+	+	+	+	+-	
	20-year Contract-Sale of 2 Acre Farmstead to Robin & Diane Grassley		H	х		H	H	H	H		H	H	H	╟	+	X	+	-	-		Proper- ty Sale		х		1	-	+	+	+	+	H	Plus \$1,000
															1	T	T		$\vdash$		ty Gaic				1	1		+	-	$\dagger$		Princi- pal yr
																								$\exists$	$\exists$	7	1	1	$\top$			
											$\Box$		$\prod$										Ì					T				
							$\prod$	$\prod$	$\prod$	П	$\prod$	$\prod$			T			$\Box$						T	$\exists$	1	1	1	1	T		
			П	$\prod$		$\prod$		$\prod$		$\prod$	$\sqcap$	$\bigcap$			<b>†</b>	T	T							$\exists$	7	1	1	+	+	T		-
	Andrew Comments of the Comment of th				$\prod$	$\prod$	$\prod$	$\prod$	$\prod$	$\prod$	$\prod$	$\bigcap$			T	T								$\top$	7	1	1	1	+	$\vdash$		
	(1) (2) (2) (2) (2) (2) (2) (2) (2) (2) (2		$\prod$	$\prod$		$\prod$	$\prod$	$\prod$	$\prod$	$\prod$	$\sqcap$	$\Box$	П			T				$\Box$				$\top$	1	$\top$	1	1	+		$\prod$	
ž	(EMPTION TEST (see instructions before marking box): If a	<del></del>		·2d 5		<del></del>	<del></del>		المستور	<del></del>	السيد	4500	ليد	44-	<del></del>					ب		ᆜ		سلسب	ᅷ	ᅷ	—	<u> </u>	<del></del>	Щ.	щ	

					นล		

transación de la companyación de l La companyación de la companyación de la companyación de la companyación de la companyación de la companyación

Charles E. Grassley

### PART IV. TRANSACTIONS

Page Number

16

		AC Ac							Am	oun	t of	Trar	nsac	tior	ı (x)		
C	child (See p.3	rchase, sale, or exchange by you, your spouse, or dependent CONTENTS OF REPORTS Part B of Instructions) during the	E	insaci ype (:													-
ii b	other securitie include transa involving prop between you,	od of any real property, stocks, bonds, commodity futures, and es when the amount of the transaction exceeded \$1,000. actions that resulted in a loss. Do not report a transaction erty used solely as your personal residence, or a transaction your spouse, or dependent child. Please clarify which two involved in any reportable exchange.	Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	\$1,001 - \$15,000	15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
_	8	Identification of Assets		S	Ш		↔	\$1	₩	Ġ						₩.	
	Example: DC, or J	IBM Corp. (stock) NYSE  (DC) Microsoft (stock) NASDAQ/OTC	X	X		2/1/0X 1/27/0X	1 2 - 1 - T	Х		Х	E	X	A	M	P P	┝╬╢	E
1	-	k, Lantana FL-(CD-Matured and Redeemed) SEP IRA		x		8/4/09		х	-	Ĥ	- - - -			10:			
2	Great Southe	ern Bank, Reeds Springs - SEP IRA	х			2/13/09		х									
3	Great Southe	ern Bank, Reeds Springs - SEP IRA		х		11/13/09		х									
4	AIM Capitol [	Development-Class A - SEP IRA		х		1/13/09	Х										15
5	Alliance Bern	stein Large Cap Growth Fund-Class A-SEP IRA		х		1/13/09	х										
6	Alliance Bern	stein Growth & Income Fund - Class A-SEP IRA		х		1/13/09		х									
7	Alliance Bern	stein Growth & Income Fund - Class B-SEP IRA		х		1/13/09	Х										- 15
8	Hartford Capi	ital Appreciate Fund - Class B-SEP IRA		Х		1/13/09	Х										
9	AIM Large Ca	ap Growth Fund-Class A-SEP IRA		х		1/13/09	Х										1
10	Putnam Inves	stors-Class A-SEP IRA		х		1/13/09	Х										
11	Putnam Interr	national Equity Fund-Class A-SEP IRA		х		1/13/09		х									
12 3	Harbor Int. Gr	rowth Fund Institutional Class N/L-Freedom	х			1/7/09	Х										-
ĖVi	EMPTION TEST	(see instructions before marking boy): If you emitted any exact because it was to the														$\overline{}$	$\overline{}$

	individual's	

### PART IV. TRANSACTIONS

Page Number

								Am	oun	t of	Trai	nsac	tior	1 (x)		
0	Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the	l	nsac ype (													ā
r c li h	reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.	Purchase	<u>e</u>	Exchange	<b>Transaction Date</b> (Mo., Day, Yr.)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50 000 000
	Identification of Assets	P	Sale	EX		\$1,	\$1	\$5(	\$10	\$2;	\$5(	ó	\$1,	\$5,	\$2	6
7	Example: DC, (Stock) NYSE	X			2/1/0X		Х			E	X	Α	M	Р	L	
	or J (DC) Microsoft (stock) NASDAQ/OTC		X	·	1/27/0X				Х	Ε	Х	Α	M	Р	L	ļ
<u>5</u>	Perkins Mid Cap Value Fund-Class A-Freedom	Х			1/7/09	Х						y 2 *				
3	Laudus Rosenberg Int. Discovery Fund Select-Freedom	х			1/7/09	Х										
}-	Neuberger Berman Genesis Fund Investor Class N/L-Freedom	Х			1/7/09	х										
Ι Σ	Nuveen High Yield Municipal Bond Fund Class I N/L-Freedom	Х			1/7/09	х		· · ·				33 Per 12 Per 13 Per				
<u>;</u>	T. Row Price Summit Municipal Income Fund N/L-Freedom	Х			1/7/09	х				1						
	Barron Growth Fund N/L-Freedom	Х			1/9/09	х										
100	Columbia Marsico Focused Equities Fund-Class A M/F-Freedom	Х			1/9/09	Х										
	Davis New York Venture Fund-Class A M/F-Freedom	х			1/9/09	Х							$\neg$			
,	DWS RREEF Global Real Estate Securities FndClass A M/F-Freedom	Х			1/9/09	х										3
)	Eagle Large Cap Core Fund Class I N/L-Freedom	х			1/9/09	х										
	Eaton Vance Large Cap Value FundClass A M/F-Freedom	Х			1/9/09	х										
ŀ	EuroPacific Growth Fund-Class F1-American Funds N/L-Freedom	х			1/9/09	Х					_	$\dashv$				<del></del> _

	idual's	

### PART IV. TRANSACTIONS

Page Number

									Am	oun	t of	Trai	nsac	tior	ı (x)		
10	iniia (See p.3	rchase, sale, or exchange by you, your spouse, or dependent CONTENTS OF REPORTS Part B of Instructions) during the		nsac ype (													
ii b	nclude transa nvolving prope etween you,	od of any real property, stocks, bonds, commodity futures, and is when the amount of the transaction exceeded \$1,000. In the contraction exceeded the contraction exceeded the contraction exceeded the contraction of the con	Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
L		Identification of Assets	4	Š	மி		\$1	\$1	\$5	\$1	\$2	\$5	ð	\$1	\$5	\$2	δ
	S, Example: DC, or J	IBM Corp. (stock) NYSE  (DC) Microsoft (stock) NASDAQ/OTC	X	<u> </u>		2/1/0X		Х			Е	Х	<u> </u>	М	Р	L	Ε
1	9.	chs Municipal Income-Class A-Freedom		X		1/27/0X				Х	E	Х	Α	M	Р	ᆫ	E
1,5			X			1/9/09	X								- 11-11-1		
3	Hartford Midd	ap Fund-Class A-M/F-Freedom	X			1/9/09	Х										
3-	MFS Researc	ch Fund-Class A-Freedom	X			1/9/09	Х										
3	Pimco Comm	odity Real Return Strategy Fund-Class A M/F-Freedom	Х			1/9/09	Х										
5	Wells Fargo	Advantage International Value Fnd. Admin CL N/L-Freedom	Х			1/9/09	X										
5	Davis New Yo	ork Venture Fund-Class A M/F-Freedom		Х		3/20/09	х										
ठ	Eagle Fmall (	ap Core Value Fund-Class I N/L-Freedom	Х		• • • •	3/20/09	х				4-1						
8	Alliance Bern	stein Municipal Income National Fnd. Adv. Cls N/L-Freedom	X			3/26/09	Х										
9	Goldman Sac	hs Municipal Income A-Freedom		х		3/26/09	х						7			1	
9	Harbor Int. Gr	owth Fund Institutional Class N/L-Freedom	×			7/20/09	Х						$\exists$				0.4
1	Perkins Mid C	ap Value Fund-Class A M/F-Janus-Freedom	х			7/20/09	х										28
2	Laudus Roser	berg Int. Discovery Fund Select-Freedom	х			7/20/09	х						$\dashv$	$\exists$		$\dashv$	

Reporting	Individual's	Name
reporting	IIIUIVIQUAI E	s name

### PART IV. TRANSACTIONS

Page Number

							Am	ount	of	Tran	sac	tion	(x)		
Report any purchase, sale, or exchange by you, your spouse, or dependent hild (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the		nsact ype (>		·						; ;				8	
eporting period of any real property, stocks, bonds, commodity futures, and ther securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction evolving property used solely as your personal residence, or a transaction etween you, your spouse, or dependent child. Please clarify which two roperties are involved in any reportable exchange.  Identification of Assets	Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 -\$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	ء ا
S, IBM Corp. (stock) NYSE	_ X	<del></del>		2/1/0X		Х			Ε	х	Α	M	Р	L	╄
example: DC, or J (DC) Microsoft (stock) NASDAQ/OTC		Х	177 V	1/27/0X	\$400 E			Х	Ε	х	A	М	Р	L	
Nuveen High Yield Municipal Bond FndClass I N/L-Freedom	Х			7/20/09	Х						90 M (*)				ĺ
T. Rowe Price Summit Municipal Inc. Fund N/L-Freedom	Х			7/20/09	х										
Alliance Bernstein Municipal Inc. Natl. Fnd. Adv Cls N/L-Freedom	Х			7/22/09	х										
Baron Growth Fund N/L-Freedom	х			7/22/09	Х					3			yr tr		
Columbia Marsico Focused Equities FndClass A M/F-Freedom	х			7/22/09	Х										
Davis New York Venture Fund-Class A M/F-Freedom	х			7/22/09	Х										
Eagle Large Cap Core Fund Class I N/L-Freedom	х			7/22/09	Х										
Eagle Small Cap Core Value Fund-Class I N/L-Freedom	х			7/22/09	х		i gual T		:						
EuroPacific Growth Fund Class F1-American Funds N/L-Freedom	X			7/22/09	х										
Hartford Midcap Fund-Class A M/F-Freedom	х			7/22/09	х										
MFS Research Fund-Class A M/F-Freedom	х			7/22/09	х										!
Pimco Commodity Real Return Strategy Fund-Class A M/F-Freedom	Х			7/22/09	х										1

	-			
Dance		ndividu	-11- B	<b></b>
MOUUII		MOIVKHI	ais N	ame

### **PART IV. TRANSACTIONS**

Page Number

						<u>L</u>		Am	oun	t of	Trai	nsac	tion	ı (x)		بنب
CI	Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the	I T	insaci ype (:													
oi In be	eporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction revolving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.	Purchase	ıle	Exchange	Transaction Date (Mo., Day, Yr.)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	5,000,001 - \$50,000,000	
	identification of Assets	₫	Sale	ũ		€	\$1	\$5	\$1	\$2	\$5(	δ	8	\$5	\$26	L
	Example: DC. IBM Corp. (stock) NYSE	X			2/1/0X		Х			E	Х	Α	M	Р	L	Į
Ť	or J (DC) Microsoft (stock) NASDAQ/OTC	+	X		1/27/0X				Х	E	Х	Α	M	P	L	ł
1	Wells Fargo Advantage Int. Value Fnd. Admin Cl. N/L-Freedom	X			7/22/09											
	Alliance Bernstein Large Cap Growth-Class A-RJ Bank Deposit		Х		1/8/09	Х		ia ika Marana Marana		ant. Arti						
	Alliance Bernstein Growth & Income-Class B-RJ Bank Deposit		X		1/8/09		Х									
ļ	Aim Large Cap Growth-Class A-RJ Bank Deposit	1.1. V.	х		1/8/09		х						į.			
Ļ	Munder Internet Fund-Class A-RJ Bank Deposit		х		1/8/09	Х										
Ĺ	Allianz CCM Capital Appreciation-Class A-RJ Bank Deposit		х		1/8/09	х									 	
	Putnam International Equity-Class A-RJ Bank Deposit		х		1/8/09		х									
	Allianz OCC Target A-RJ Bank Deposit		х		1/8/09	х							1	$\neg$		ĺ
	Harbor Int. Growth Fnd. Institutional Class N/L-SEP IRA Freedom	Х			1/12/09	х							$\exists$			ĺ
	Perkins Mid Cap Value Fund Class A M/F-Janus-SEP IRA Freedom	Х			1/12/09	х								1		
	Laudus Rosenberg Int. Discovery Fund Select-SEP IRA Freedom	Х			1/12/09	X							$\exists$			
	Davis New York Venture Fund-Class A-SEP IRA Freedom	x			8/29/09	х		-					$\neg$	$\dashv$		i

			Name

### PART IV. TRANSACTIONS

Page Number

								Am	oun	t of	Trar	ısac	tion	ı (x)		
CI	Report any purchase, sale, or exchange by you, your spouse, or dependent shild (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the		nsact ype (:												0	
re ol in be	eporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.	Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	\$1,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$250,000 \$250,001 - \$500,000 \$500,001 - \$1,000,000						Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	
_	Identification of Assets		Ö	Ш̈		8		\$5	₹		igsquare	<u> </u>	${oldsymbol{\sqcup}}$			1
	Example DC, (DC) Misson ff (stock) NYSE	X		447.0	2/1/0X		Х			E	X	Α	М	Р	L	+
¥	or J (DC) Microsoft (stock) NASDAQ/OTC		X		1/27/0X				Х	E	Х	Α	М	P	<u> </u>	4
1	Neuberger Berman Genesis Fund Investor Class N/L-SEP IRA Freedom	X			1/12/09	Х				** **			·			
1	T. Rowe Price Int. Bond Fund Advisor Class N/L-SEP IRA Freedom	Х			1/12/09	Х						f.'				1
1	Baron Growth Fund N/L-SEP IRA Freedom	х			1/14/09	Х		134 1 7 21 21 1 7								
	Columbia Marsico Focused Equities Fund-Class A M/F-SEP IRA Freedom	х			1/14/09	х				2						1
	Davis New York Venture Fund Class A M/F-SEP IRA Freedom	х			1/14/09	х										†
	DWS RREEF Global Real Estate Securities FndClass A M/F-SEP IRA Freedom	х			1/14/09	х										1
	Eaton Vance Large Cap Value Fund-Class A-SEP IRA Freedom	Х			1/14/09	Χ·										1
	EuroPacific Growth Fund Class F1-American Fund N/L-SEP IRA Freedom	х			1/14/09	х										1
1	Goldman Sachs High Yield Fund-Class A M/F-SEP IRA Freedom	х			1/14/09	х		,								1
	Hartford MidCap Fund-Class A M/F-SEP IRA Freedom	Х			1/14/09	х										1
	JP Morgan Core Bond Fund Class A M/F-SEP IRA Freedom	х			1/14/09	Х										1
2	MFS Research Fund-Class A M/F-SEP IRA Freedom	Х			1/14/09	Х										ſ

•	Repo	orting	Individual's	Name

### PART IV. TRANSACTIONS

Page Number

									Ame	oun	t of	Trar	ısac	tion	(x)		
cl	hild (See p.3	rchase, sale, or exchange by you, your spouse, or dependent CONTENTS OF REPORTS Part B of Instructions) during the	1	nsact ype (:							:			1		0	
in b	ther securities oclude transa ivolving prope etween you,	od of any real property, stocks, bonds, commodity futures, and is when the amount of the transaction exceeded \$1,000. In ctions that resulted in a loss. Do not report a transaction erty used solely as your personal residence, or a transaction your spouse, or dependent child. Please clarify which two involved in any reportable exchange.	Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
		Identification of Assets		Ö	Û		€		₩	€					1.75		
	S, Example: DC,	IBM Corp. (stock) NYSE	X			2/1/0X		X	es yes es en		E	X	A	M	P	L	E
69 7	or J	(DC) Microsoft (stock) NASDAQ/OTC		X		1/27/0X				Х	E	Х	Α	M	Р	┖	E
1	Freedom	F Global Real Estate Securities FndClass A-M/F-SEP IRA	X			8/27/09	Х										<u> </u>
2	Eaton Vance	Large Cap Value FndClass A M/F-SEP IRA Freedom	Х			8/27/09	Х										ı .
3	EuroPacific (	Growth Fund-Class F1-Am. Funds N/L-SEP IRA Freedom	Х			8/27/09	Х										<u> </u>
4	Goldman Sa	chs High Yield Fund-Class A M/F-SEP IRA Freedom	х			8/27/09	х		7.7 (1 1 av.) 31 av.)		. 28 <u>.</u> 2						l
5	Hartford Mide	cap Fund-Class A M/F-SEP IRA Freedom	Х			8/27/09	х				15 - 5 t						
6	JP Morgan C	Core Bond Fund-Class A M/F-SEP IRA Freedom	х	*******		8/27/09	х						7 - 27 - 1 2				l
7	MFS Resear	ch Fund-Class A M/F-SEP IRA Freedom	х	-		8/27/09	х										
8	Pimco Gomn	nodity Real Return Strategy Fund-Class A M/F-SEP IRA Freedom	х			8/27/09	Х										
9	Pimco Total	Return Fund-Class A M/F-SEP IRA Freedom	х			8/27/09	Х										
10	Thornburg Va	alue Fund Class I N/L-SEP IRA Freedom	X.			8/27/09	х										
1	Wells Fargo	Advantage Int. Value Fnd. Admin. CL N/L-SEP IRA Freedom	Х			8/27/09	Х										£
12	Aston/River I	Road Small Cap Value Fund-Class I N/L-SEP IRA Freedom	Х			11/19/2009	х	,									14.

Reportin	g Individual's	Name
187 4 1 1 S	gray ger	

### PART IV. TRANSACTIONS

Page Number

								Am	oun	t of	Trai	nsac	ction	ı (x)		
Ę	Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the		nsac ype (													
in d	eporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction evolving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.	Purchase	<u>a</u>	Exchange	Transaction Date (Mo., Day, Yr.)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	5,000,001 - \$50,000,000	Over \$50,000,000
1.	Identification of Assets	P	Sale	Ē		\$1,	\$16	\$5(	\$10	\$2	\$5(	ò	\$1,	\$5,	\$25	δ
	Example: DC, (Stock) NYSE	X			2/1/0X		Х			E		A	М	Р	L	E
	or J (DC) Microsoft (stock) NASDAQ/OTC		Х		1/27/0X				Х	E	Х	Α	M	Р	L	E
_	Pimco Commodity Real Return Strategy Fund-Class A M/F-SEP IRA Freedom	Х			1/14/09	X										
!	Pimco Total Return Fund-Class A M/F-SEP IRA Freedom	Х			1/14/09	Х								eja Villi 1. t		
	Thornburg Value Fund Class I N/L-SEP IRA Freedom	Х			1/14/09	Х						N. C.				
,	Wells Fargo Advantage International Value Fnd. Admin. Cl N/L-SEP IRA Freedom	Х			1/14/09	Х										
1	Aston/River Road Small Cap Value Fund Class I N/L-SEP IRA Freedom	X			3/20/09	Х										
	Davis New York Venture Fund-Class A M/F-SEP IRA Freedom		Х		3/20/09	Х				*** **** 		97.87 F				
1	Thornburgh Value Fund Class I N/L-SEP IRA Freedom		Х		3/20/09	х										* . * .
	Aston/River Road Small Cap Value Fund Class I N/L-SEP IRA Freedom	Х			6/19/09	х										
	Laudus Rosenberg Int. Discovery Fund Select-SEP IRA Freedom	х			6/19/09	х									,	
	Neuberger Berman Genesis Fund Investor Class N/L-SEP IRA Freedom	х			6/19/09	х										
	T. Rowe Price Int. Bond Fund Advisor Class N/L-SEP IRA Freedom	Х			6/19/09	х										-
	Columbia Marsico Focused Equities Fund-Class A M/F-SEP IRA Freedom	Х			6/19/09	х						·				1

		divid		

## PART IV. TRANSACTIONS

Page Number

					·			Am	oun	t of	Trai	nsac	tion	ı (x)		
(	Report any purchase, sale, or exchange by you, your spouse, or dependent shild (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the		nsac ype (													
	eporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction envolving property used solely as your personal residence, or a transaction petween you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.	Purchase	Ð	Exchange	Transaction Date (Mo., Day, Yr.)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	000 000 000
	Identification of Assets	Ьu	Sale	Ä		\$1,	\$18	\$50	\$10	\$25	\$50	ð	\$1,	\$5,0	\$25	{
	S, IBM Corp. (stock) NYSE	X			2/1/0X		Х			E	Х	Α	М	Р	L	E
le.	or J (DC) Microsoft (stock) NASDAQ/OTC		X		1/27/0X				Х	Е	Х	Α	М	Р	L.	E
1	DWS RREEF Global Real Estate Securities Fund-Class A M/F-SEP IRA Freedom	Х			6/23/09	Х										
2	Eaton Vance Large Cap Value Fund Class A M/F-SEP IRA Freedom	х			6/23/09	Х										
3	Hartford Midcap Fund Class A M/F-SEP IRA Freedom	Х			6/23/09	х				SPORT.						
4	JP Morgan Core Bond Fund Class A M/F-SEP IRA Freedom	Х		i ar Di	6/23/09	х										- 4
5	Pimop Total Return Fund Class A M/F-SEP IRA Freedom	Х			6/23/09	х										-
6	Aston/River Road Small Cap Value Fund Class I N/L-SEP IRA Freedom	х			8/25/09	x		7 7				+				
7	Harbor International Growth Fund Institutional Class N/L-SEP IRA Freedom	х			8/25/09	Х								; I · · ·		
8	Perkins Mid Cap Value Fund Class A M/F-Janus-SEP IRA Freedom	х			8/25/09	х	7		1			+				- <u>31</u>
9	Laudus Rosenbert Int. Discovery Fund Select-SEP IRA Freedom	х			8/25/09	х		$\dashv$	+	_		$\dashv$		1		
0	Neuberger Berman Genesis Fund Investor Class N/L-SEP IRA Freedom	Х			8/25/09	x	$\dashv$	$\dagger$			_	$\dashv$	$\neg \dagger$	$\dashv$	_	
1	T. Rowe Price Int. Bond Fund Advisor Class N/L-SEP IRA Freedom	х			8/25/09	х			_		$\dashv$		+	+		
2	Baron Growth Fund N/L-SEP IRA Freedom	х			8/27/09	x		$\dashv$	_	$\dashv$	-	$\dashv$	$\dashv$	$\dashv$	$\dashv$	

Reporting	Individ	lual's l	Name
	Paris	4	
Charle	L L	Cmo	aalax.
Chanc	S.D.	Ula	221CA

### PART IV. TRANSACTIONS

Χ

11/23/09

Page Number

25

									Am	oun	t of	Trar	ısac	tion	ı (x)		
		rchase, sale, or exchange by you, your spouse, or dependent CONTENTS OF REPORTS Part B of Instructions) during the		nsac ype (													
othe Inclu invol betw	er securities ude transac plving prope ween you, y	od of any real property, stocks, bonds, commodity futures, and is when the amount of the transaction exceeded \$1,000. In the control of the transaction exceeded \$1,000. In the control of the transaction exceeded \$1,000. In the control of the control of transaction extra used solely as your personal residence, or a transaction your spouse, or dependent child. Please clarify which two involved in any reportable exchange.	Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
	And the S	Identification of Assets	X	G	11. <b>44</b>	2/1/0X	€7	X	₩.	₩	\$ E	χ	A	₩	P	. ⊬ <del>S</del>	E
Exa	ample: DC, or J	IBM Corp. (stock) NYSE  (DC) Microsoft (stock) NASDAQ/OTC	<b> </b> ^	X		1/27/0X	g de la s	^		Х	E	X	A	M	Р	L	E
1 H	· · · · · · · · · · · · · · · · · · ·	Growth Fund Institutional Class N/L-SEP IRA Freedom	Х			11/19/09	х										
2 L	audus Rose	enberg Int. Discovery Fund Select-SEP IRA Freedom	x			11/19/09	Х										
3 N	leuberger F	Berman Genesis Fund Investor Clasws N/L-SEP IRA Freedom	Х			11/19/09	х				wesir Silik		97 yedu 81				
4 P	erkins Mid	Cap Value Fund-Class A M/F-Janus-SEP IRA Freedom	х			11/19/09	х				57 HB (4) (4) (4) (4)						
5 T.	. Rowe Pric	ce Int. Bond Fund Advisor Class N/L-SEP IRA Freedom	х			11/19/09	х						c:1				
6 B	Saron Grow	th Fund N/L-SEP IRA Freedom	х			11/23/09	х										
7 C	Columbia M	arsico Focused Equities Fund-Class A M/F-SEP IRA Freedom	Х			11/23/09	х				and and and and and and and and and and					<u>.                                    </u>	
8 D	)avis New Y	ork Venture Fund-Class A M/F-SEP IRA Freedom	Х			11/23/09	Х										
	OWS RREEF reedom	F Global Real Estate Securities FndClass A M/F-SEP IRA	х			11/23/09	х						,				
10 E	iaton Vance	e Large Cap Value Fund-Class A M/F-SEP IRA Freedom	×			11/23/09	х										
11 E	<u></u> <u></u>	Growth Fund-Class F1-American Funds N/L-SEP IRA Freedom	Х			11/23/09	Х					•					

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Χ

12 Goldman Sachs High Yield Fund Class A M/F-SEP IRA Freedom

Reportin	g Individual's	Name

Charles E. Grassley

### PART IV. TRANSACTIONS

Page Number

									Am	oun	t of	Trar	ısac	tion	(x)		
		rchase, sale, or exchange by you, your spouse, or dependent CONTENTS OF REPORTS Part B of Instructions) during the		nsact ype (:												0	
oth Inc inv bet	er securitie lude transa olving prop ween you,	od of any real property, stocks, bonds, commodity futures, and is when the amount of the transaction exceeded \$1,000. ctions that resulted in a loss. Do not report a transaction erty used solely as your personal residence, or a transaction your spouse, or dependent child. Please clarify which two involved in any reportable exchange.	Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
1		Identification of Assets	٩	Š	ΔÛ		\$1	\$1	35	8						\$2	
E	S, xample: DC,	IBM Corp. (stock) NYSE	X			2/1/0X		Х			E	Х	Α	M	P	L	E
	, or J	(DC) Microsoft (stock) NASDAQ/OTC		X		1/27/0X				Х	Е	Х	Α	M	Р	L	E
1	Hartford Mid	cap Fund-Class A M/F-SEP IRA Freedom	Χ			11/23/09	Х										
2	JP Morgan (	Core Bond Fund-Class A M/F-SEP IRA Freedom	х			11/23/09	х										
3	Pimco Comr	nodity Real Return Strategy Fund Clall A M/F-SEP IRA Freedom	Х			11/23/09	Х										
4	Pimce Total	Return Fund-Class A M/F-SEP IRA Freedom	Х			11/23/09	Х				A Pro-						
5	Thornburg V	alue Fund Class I N/L-SEP FRA Freedom	х			11/23/09	Х						Sg. A A				
	Wells Fargo Freedom	Advantage International Value Fund Admin. Cl. N/L-SEP IRA	х			11/23/09	Х						1				
7	Columbia Ma	arsico Focused Equities Fund-Class A M/F-SEP IRA Freedom	х	-		8/2709	Х										
8																	
9																	1
10																	0
11	Surcesson Services Conservations																- C
12		-															-
 EXEI	MPTION TEST	(see instructions before marking box): If you omitted any asset because it meets the thies only if the asset is/was held independently by the spouse or dependent child. If the asset	ee-part	test for	evemnt	ion described in the ins	tructio	one n	0000	chack	boy t	o tho	right				=

Individual's Name

A CANAL ALSO

### **PART VII. LIABILITIES**

Page Number

Category of Amount of Value (x)

27

Report liabilities over \$10,000 owed by you, your spouse, or dependent child (See p.3
CONTENTS OF REPORTS Part B of Instructions), to any one creditor at any time
during the reporting period. Check the highest amount owed during the reporting
period. Exclude: (1) Mortgages on your personal residences unless rented; (2) loans
secured by automobiles, household furniture or appliances; and (3) liabilities owed to
certain relatives listed in Instructions. See Instructions for reporting revolving charge
accounts

Capa	CONTENTS O uring the repo eriod. Exclude ecured by aut	OF REPORTS Part porting period. Che de: (1) Mortgages of the description of the descripti	t B of Instructions), to a eck the highest amount on your personal reside all furniture or applian	se, or dependent child (See p.3 any one creditor at any time towed during the reporting lences unless rented; (2) loans nees; and (3) liabilities owed to for reporting revolving charge	Date Incurred	Interest Rate	Term if Applicable	001 - \$15,000	5,001 - \$50,000	001 - \$100,000	,001 - \$250,000	,001 - \$500,000	,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
	Name (	of Creditor	Address	Type of Liability				\$10,001	\$15,0	\$50,001	\$100,001	\$250,001	\$500,001	Over			\$25,0	
	Ş, Example: DC,	First District Bank	Wash., DC	Mortgage on undeveloped land	1991	13%	25yrs			Х		E	X	Α	M	Р	L	E
43-	or J	(J) John Jones	Wash., DC	Promissory Note	1999	10%	On dmd				X	E	X	Α	M	P	L	Ε
1	Larry and Sar	ndra Bass	New Hartford, IA	Farm Contract	2007	6.5%	3 years		Х		10							
2	11 M 2 M		·						:									1
3	12.00 s & 1.00	·																
4																		
5							a si liib											
6																		
7																		
8	[ 기록 (1 년 년 - 전 기록 (1 년 - 전 기록 (1 년 년 년 년 년 년 년 년 년 년 년 년 년 년 년 년 년 년																	
9	er de florer engel, fall	******																
10	The end of the control of the contro																	Ì,
11				·														
12																!		

	Individual's	

### PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT

Page Number

Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

**Exclude:** Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

de la composition de	N	ame of Organization	Address (City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
E	cample:	National Assn. of Rock Collectors	NY,NY <b>EXAMPLE</b>	Non-profit education	President	6/90	Present
		Jones & Smith	Hometown, USA EXAMPLE	Law Firm	Partner	7/95	11/0X
1	·Farm -	Butler County, IA	New Hartford & Cedar Falls, IA	Family Farm Operation	Proprietor	Life	Life
2		ership for a Drug-Free Iowa- t Together (PDFIFIT)	Des Moines, IA	Educational	Honorary Advisory Board Member	10/99	Present
3	lowans	s for Right to Work	Des Moines, IA	Non-Profit Labor	Honorary Advisory Board Member	1/89	Life
4	100				·		i a
5	1	nggadak					9 .**
6			·				
7	1						
8	1.1	1994) 1994: 18					<u>.</u>
0		Section 1					
10							2. 
11		i ·					1. 2
12							
13		:					

Compensation in excess of \$200 from any position must be reported in Part II.